

NEUTRINO MIXING AND NEUTRINO TELESCOPES



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Astrophysical Neutrinos:

- General Properties of Neutrino Mixing Probabilities
- Expansion of Probabilities up to second Order
- Dependence of Flux Ratios on “impure” initial Flux Compositions

W.R., JCAP 0701, 029 (2007);

S. Pakvasa, W.R., T.J. Weiler, in preparation

NEUTRINO MIXING

“Best-fit matrix” with vanishing U_{e3} and maximal θ_{23} :

$$|U| = |U_\ell^\dagger U_\nu| = \begin{pmatrix} 0.83 & 0.56 & 0 \\ 0.39 & 0.59 & 1/\sqrt{2} \\ 0.39 & 0.59 & 1/\sqrt{2} \end{pmatrix}$$

“Tri–bimaximal Mixing” $\sin^2 \theta_{12} = 1/3$:

$$U = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}$$

$$\Leftrightarrow m_\nu = \begin{pmatrix} A & B & B \\ \cdot & \frac{1}{2}(A+B+D) & \frac{1}{2}(A+B-D) \\ \cdot & \cdot & \frac{1}{2}(A+B+D) \end{pmatrix}$$

NEUTRINO MIXING AND NEUTRINO TELESCOPES

Measure Flux Ratios at Neutrino Telescopes

initial flux composition of neutrinos:

$$\begin{pmatrix} \Phi_e^0 \\ \Phi_\mu^0 \\ \Phi_\tau^0 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

measured is

$$\begin{pmatrix} \Phi_e \\ \Phi_\mu \\ \Phi_\tau \end{pmatrix} = \begin{pmatrix} P_{ee} & P_{e\mu} & P_{e\tau} \\ P_{\mu e} & P_{\mu\mu} & P_{\mu\tau} \\ P_{\tau e} & P_{\tau\mu} & P_{\tau\tau} \end{pmatrix} \begin{pmatrix} \Phi_e^0 \\ \Phi_\mu^0 \\ \Phi_\tau^0 \end{pmatrix}$$

with neutrino mixing probability

$$P_{\alpha\beta} = \sum_i |U_{\alpha i}|^2 |U_{\beta i}|^2$$

PROPERTIES OF MIXING PROBABILITIES I

- tri-bimaximal mixing:

$$P_{\text{TBM}} = \begin{pmatrix} \frac{5}{9} & \frac{2}{9} & \frac{2}{9} \\ \cdot & \frac{7}{18} & \frac{7}{18} \\ \cdot & \cdot & \frac{7}{18} \end{pmatrix}$$

- allowed ranges:

$$P = \left\{ \begin{array}{c} \left(\begin{array}{ccc} 0.51 \div 0.62 & 0.13 \div 0.31 & 0.13 \div 0.32 \\ \cdot & 0.34 \div 0.50 & 0.33 \div 0.40 \\ \cdot & \cdot & 0.33 \div 0.49 \end{array} \right) \quad \text{at } 2\sigma \\ \left(\begin{array}{ccc} 0.48 \div 0.64 & 0.11 \div 0.34 & 0.11 \div 0.35 \\ \cdot & 0.33 \div 0.54 & 0.30 \div 0.41 \\ \cdot & \cdot & 0.33 \div 0.52 \end{array} \right) \quad \text{at } 3\sigma \end{array} \right.$$

Astrophysical Neutrinos mix!!

PROPERTIES OF MIXING PROBABILITIES II

- depends on $\sin \theta_{12}$, $\sin \theta_{23}$, $\sin \theta_{13}$ and $\text{Re}\{U_{e3}\} = |U_{e3}| \cos \delta$
- $P_{\alpha\beta} = P_{\beta\alpha} \Rightarrow$ six independent probabilities
- $\sum_{\alpha} P_{\alpha\beta} = \sum_{\beta} P_{\alpha\beta} = 1$
- if you know $P_{e\mu}$ and $P_{\mu\mu}$ (and θ_{23}):

$$P_{e\tau} = P_{e\mu}(\theta_{23} \rightarrow \theta_{23} + \pi/2)$$

$$P_{\tau\tau} = P_{\mu\mu}(\theta_{23} \rightarrow \theta_{23} + \pi/2)$$

$$P_{ee} = 1 - P_{e\mu} - P_{e\tau}$$

$$P_{\mu\tau} = 1 - P_{e\mu} - P_{\mu\mu}$$

\Rightarrow only two are independent

THE PROBABILITIES

$$P_{e\mu} = 2 c_{13}^2 \left(c_{12}^2 s_{12}^2 c_{23}^2 + (c_{12}^4 + s_{12}^2) s_{13}^2 s_{23}^2 \right.$$

$$\left. + c_{12} s_{12} c_{23} s_{23} c_\delta (c_{12} - s_{12})(c_{12} + s_{12}) s_{13} \right)$$

$$P_{\mu\mu} = 1 - 2 c_{12}^4 c_{23}^2 s_{23}^2 s_{13}^2$$

$$+ 2 \left((s_{12}^2 ((s_{13}^4 + (4 c_\delta^2 - 1) s_{13}^2 + 1) s_{23}^2 - 1) - c_{13}^2 s_{23}^2 \right) c_{23}^2$$

$$+ s_{13}^2 s_{23}^2 (c_{13}^2 s_{12}^2 - (c_{13}^2 + s_{12}^2) s_{23}^2) \right) c_{12}^2$$

$$+ s_{23} (-2 (c_{23}^2 c_{13}^4 + (c_{13}^2 + c_{23}^2 s_{12}^2) s_{13}^2) s_{23} s_{12}^2$$

$$- 2 c_{12} s_{12} c_{23} c_\delta (c_{12} - s_{12})(c_{12} + s_{12})$$

$$(c_{13}^2 + (s_{13}^2 + 1) (c_{23} - s_{23})(c_{23} + s_{23})) s_{13} \right)$$

Better to expand them...

EXPANSION OF THE PROBABILITIES I

We know of two small parameters:

$$|U_{e3}| \text{ and } \epsilon = \frac{\pi}{4} - \theta_{23}$$

\Rightarrow expand and truncate after the quadratic terms:

$$P_{ee} \simeq (1 - 2 c_{12}^2 s_{12}^2)(1 - 2 |U_{e3}|^2)$$

$$P_{e\mu} \simeq c_{12}^2 s_{12}^2 + \Delta + (1 - 2 c_{12}^2 s_{12}^2) |U_{e3}|^2$$

$$P_{e\tau} \simeq c_{12}^2 s_{12}^2 - \Delta + (1 - 2 c_{12}^2 s_{12}^2) |U_{e3}|^2$$

$$P_{\mu\mu} \simeq \frac{1}{2} (1 - c_{12}^2 s_{12}^2) - \Delta + \frac{1}{2} \overline{\Delta}^2 - \frac{1}{2} (1 - 2 c_{12}^2 s_{12}^2) |U_{e3}|^2$$

$$P_{\mu\tau} \simeq \frac{1}{2} (1 - c_{12}^2 s_{12}^2) - \frac{1}{2} \overline{\Delta}^2 - \frac{1}{2} (1 - 2 c_{12}^2 s_{12}^2) |U_{e3}|^2$$

$$P_{\tau\tau} \simeq \frac{1}{2} (1 - c_{12}^2 s_{12}^2) + \Delta + \frac{1}{2} \overline{\Delta}^2 - \frac{1}{2} (1 - 2 c_{12}^2 s_{12}^2) |U_{e3}|^2$$

EXPANSION OF THE PROBABILITIES II

$$P_{\mu\mu} \simeq \frac{1}{2} (1 - c_{12}^2 s_{12}^2) - \Delta + \frac{1}{2} \overline{\Delta}^2 - \frac{1}{2} (1 - 2 c_{12}^2 s_{12}^2) |U_{e3}|^2$$

universal first and second order terms ($\epsilon = \frac{\pi}{4} - \theta_{23}$):

$$\Delta \equiv \frac{1}{4} \sin 4\theta_{12} \cos \delta |U_{e3}| + \frac{1}{2} \sin^2 2\theta_{12} \epsilon$$

$$\begin{aligned} \overline{\Delta}^2 &\equiv \sin^2 2\theta_{12} \cos^2 \delta |U_{e3}|^2 + 4 (1 - \cos^2 \theta_{12} \sin^2 \theta_{12}) \epsilon^2 \\ &\quad - \sin 4\theta_{12} \cos \delta |U_{e3}| \epsilon \end{aligned}$$

- terms proportional to $|U_{e3}|^2$ negligible (and they cancel for $1 : 2 : 0$)
- $\overline{\Delta}^2$ only in $\mu\tau$ sector
- always $\overline{\Delta}^2 \geq 0$

PROPERTIES OF Δ AND $\overline{\Delta}^2$

$$1\sigma : -0.036 \leq \Delta \leq 0.057 , \quad 3\sigma : -0.097 \leq \Delta \leq 0.113$$

$$1\sigma : \overline{\Delta}^2 \leq 0.042 , \quad 3\sigma : \overline{\Delta}^2 \leq 0.170$$

Second order term can exceed first order term!

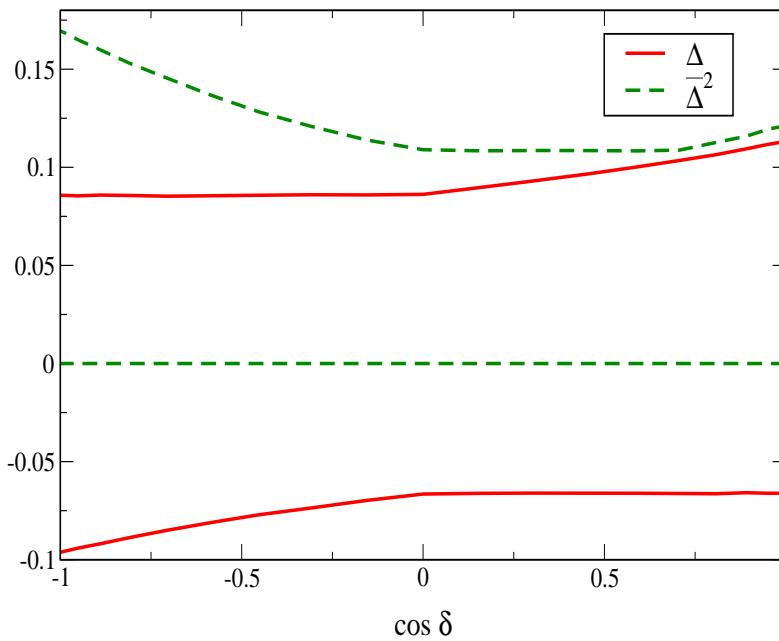
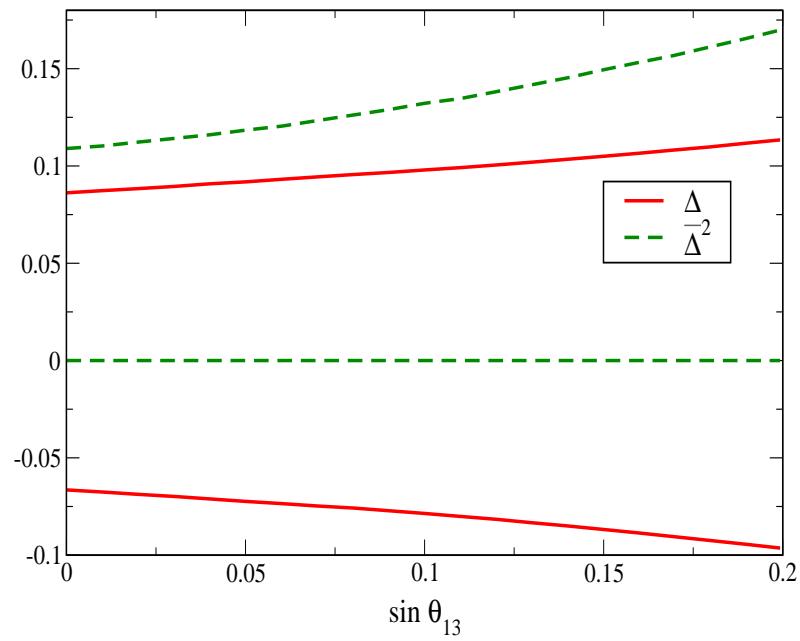
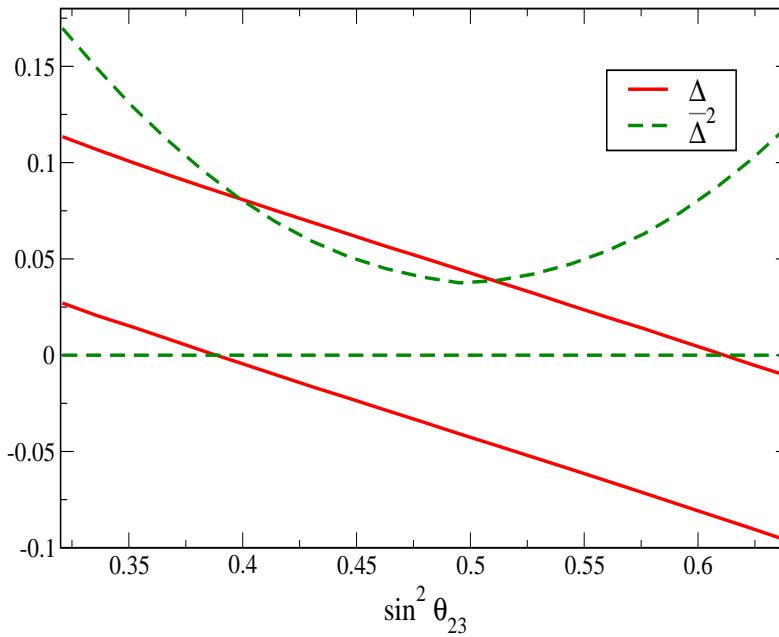
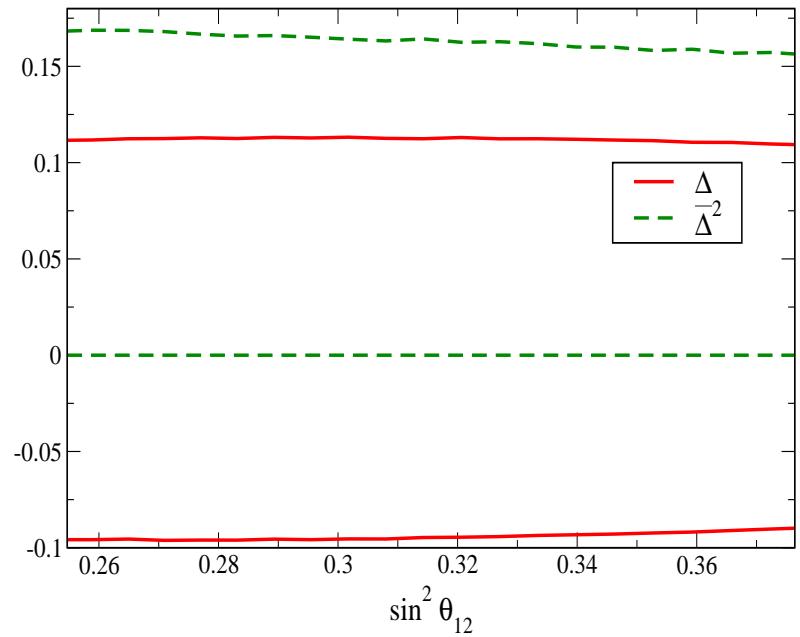
$$\text{if } \Delta = 0 : \begin{cases} \overline{\Delta}^2 \leq 0.016 & (1\sigma) \\ \overline{\Delta}^2 \leq 0.119 & (3\sigma) \end{cases}$$

$$\text{if } \sin^2 \theta_{12} = \frac{1}{3} :$$

$$\Delta = \frac{1}{9} \left(\sqrt{2} \cos \delta |U_{e3}| + 4 \epsilon \right)$$

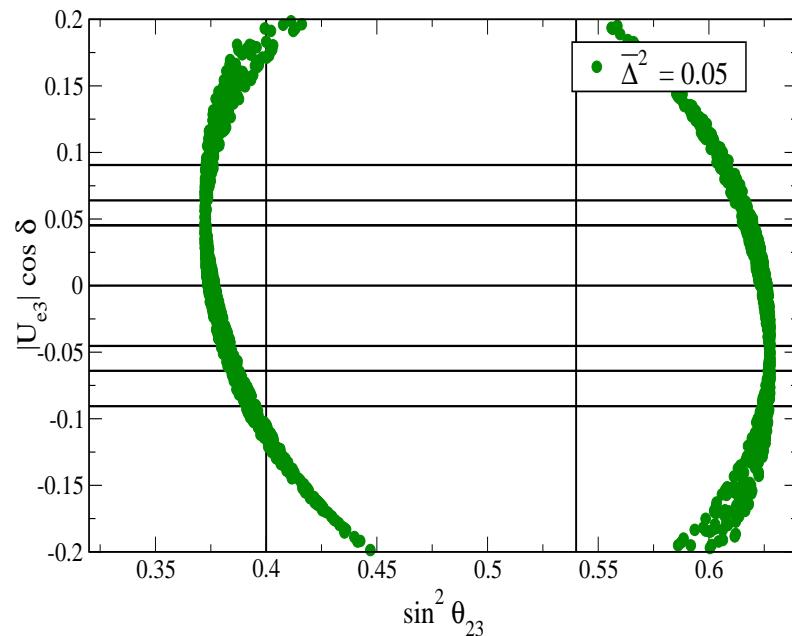
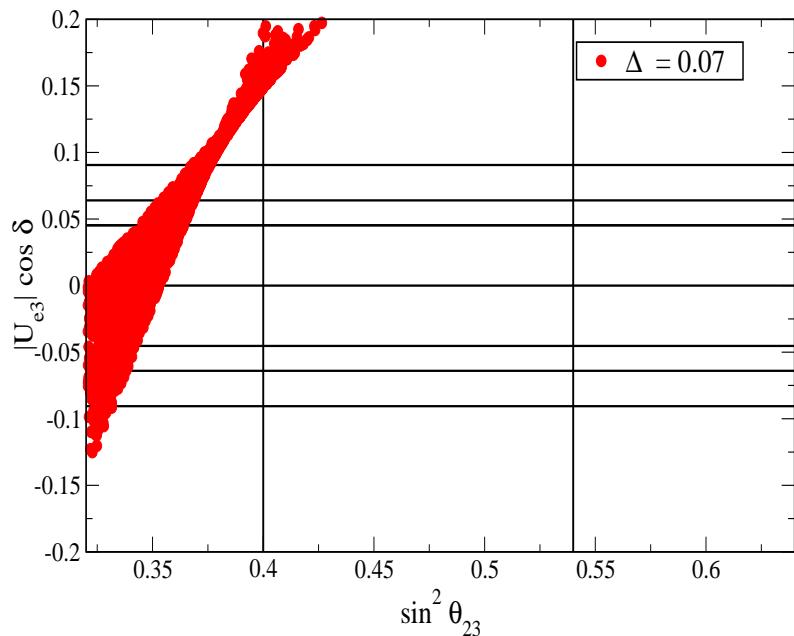
$$\overline{\Delta}^2 = \frac{4}{9} \left(2 \cos^2 \delta |U_{e3}|^2 + 7 \epsilon^2 - \sqrt{2} \cos \delta |U_{e3}| \epsilon \right)$$

\Rightarrow Depends most strongly on θ_{23}

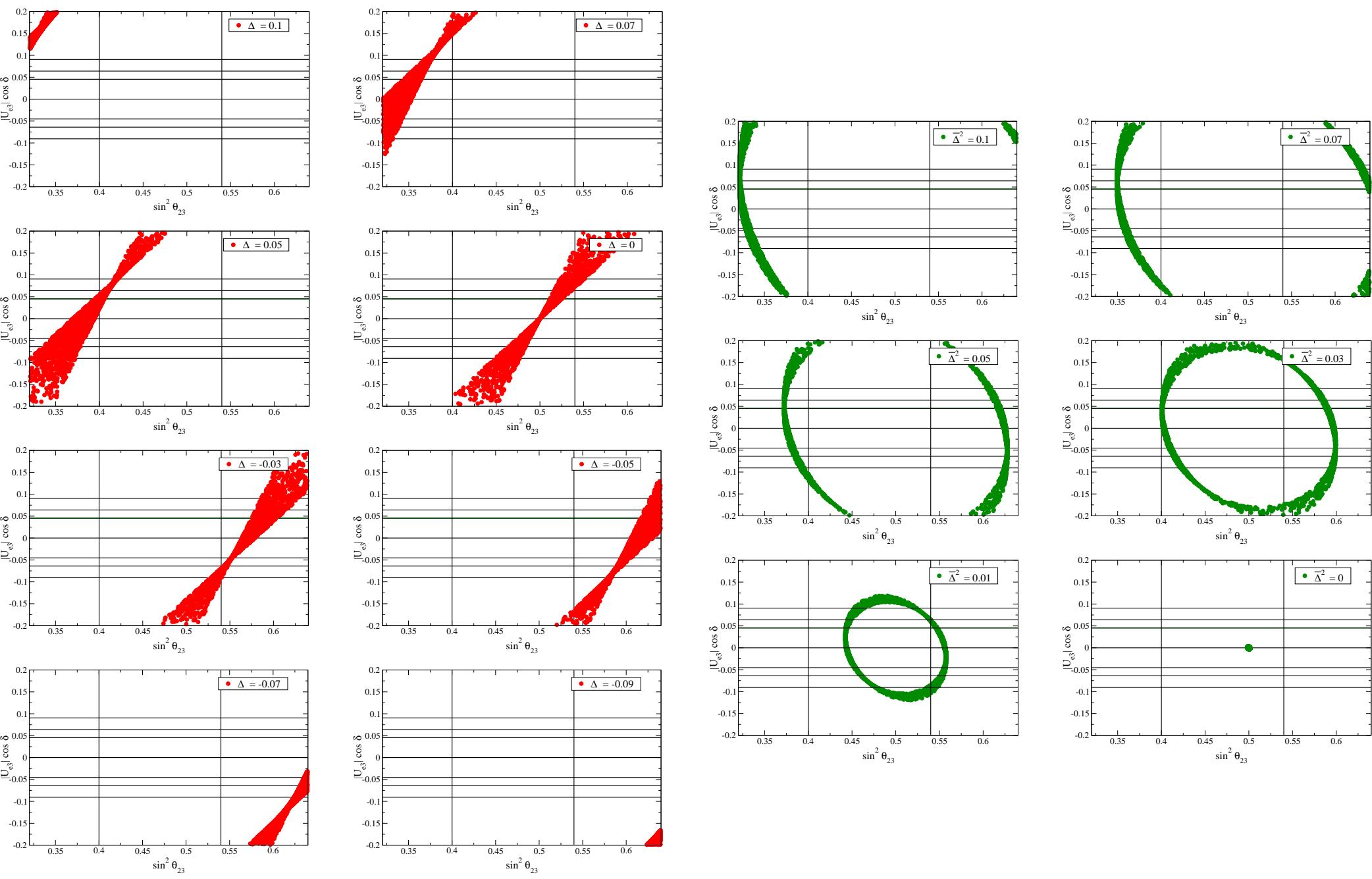


PROPERTIES OF Δ AND $\bar{\Delta}^2$

Measure flux ratio \Rightarrow measure Δ and/or $\bar{\Delta}^2$
 are functions of $|U_{e3}| \cos \delta$ and $\sin^2 \theta_{23}$



- $\Delta = 0$ also for $|U_{e3}| \cos \delta = (\sin^2 \theta_{23} - \frac{1}{2}) \tan 2\theta_{12}$
- $\bar{\Delta}^2$ describes ellipses, zero only for $U_{e3} = \theta_{23} - \pi/4 = 0$



SIMPLIFIED CASE

Modified Bjorken-Harrison-Scott (Phys. Rev. D **74**, 073012 (2006))

parametrization:

$$U = \begin{pmatrix} cD & s & U_{e3} \\ -\frac{s}{\sqrt{2}}D - \frac{1}{\sqrt{2}}\frac{1}{c}U_{e3}^* & \frac{c}{\sqrt{2}} & \sqrt{\frac{1}{2}}D - \frac{s}{c\sqrt{2}}U_{e3} \\ -\frac{s}{\sqrt{2}}D + \frac{1}{\sqrt{2}}\frac{1}{c}U_{e3}^* & \frac{c}{\sqrt{2}} & -\sqrt{\frac{1}{2}}D - \frac{s}{c\sqrt{2}}U_{e3} \end{pmatrix}$$

with $D = \sqrt{1 - \frac{1}{c^2}|U_{e3}|^2}$

(originally: $c = \sqrt{2/3}$, lead to too large $\sin^2 \theta_{12} = \frac{1}{3}(1 + |U_{e3}|^2)$)

$$\Delta \simeq \epsilon \cos^2 \theta_{12} \quad \text{and} \quad \overline{\Delta}^2 \simeq 4\epsilon^2$$

now proportional to each other

FLUX RATIOS AND SOURCES

Initial flux composition $\Phi_e^0 : \Phi_\mu^0 : \Phi_\tau^0$

- pion sources: $1 : 2 : 0$
- muon-damped: $0 : 1 : 0$
- neutron beam: $1 : 0 : 0$

Example: $1 : 2 : 0$ goes to

$$\Phi_e : \Phi_\mu : \Phi_\tau = 1 + 2\Delta : 1 - \Delta + \overline{\Delta}^2 : 1 - \Delta - \overline{\Delta}^2 \neq 1 : 1 : 1$$

Therefore always more ν_μ than ν_τ :

$$\frac{\Phi_\mu}{\Phi_\tau} \simeq 1 + 2\overline{\Delta}^2 \geq 1$$

Many papers on how to test neutrino parameters:

Bhattacharjee, Gupta; Serpico, Kachelriess; Xing; Kachelriess, Tomas; Meloni,
Ohlsson; Blum, Nir, Waxman; Xing, Zhou; W.R.; Winter; Choubey, Awasthi;...

EXAMPLE

$$\frac{\Phi_\mu}{\Phi_{\text{tot}}} \simeq \begin{cases} \frac{1}{3} \left(1 - \Delta + \overline{\Delta}^2 \right) & \text{pion} \\ \frac{1}{2} (1 - c_{12}^2 s_{12}^2) - \Delta + \frac{1}{2} \overline{\Delta}^2 \longrightarrow \frac{7}{18} - \Delta + \frac{1}{2} \overline{\Delta}^2 & \text{muon-damped} \\ c_{12}^2 s_{12}^2 + \Delta \longrightarrow \frac{2}{9} + \Delta & \text{neutron} \end{cases}$$

- ⇒ Pion sources are less sensitive to deviations from zero U_{e3} and maximal θ_{23}
- ⇒ muon-damped and neutron sources depend at first order on θ_{12}

FLUX RATIOS AND SOURCES

Realistically, one should use:

- pion sources: $1 : 2(1 - \zeta) : 0$
- muon-damped: $\eta : 1 : 0$
- neutron beam: $1 : \eta : 0$

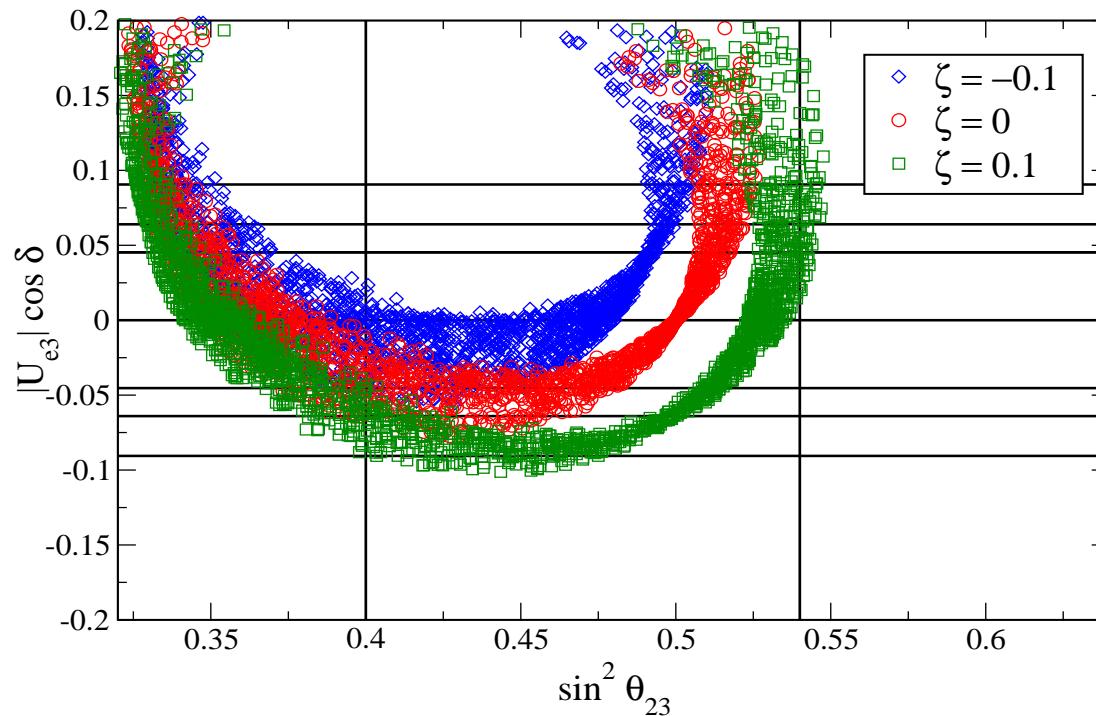
Pakvasa, W.R., Weiler, in preparation

Reasons are

- polarization effect in pion decays, different energy spectra for different flavors, etc., results in $1 : 1.86 : 0$
(Lipari, Lusignoli, Meloni, Phys. Rev. D **75**, 123005 (2007))
- $0 : 1 : 0$ from $1 : 2 : 0$ due to insufficient decay of muons at higher energy \leftrightarrow might not be perfect
(Kashti, Waxman, Phys. Rev. Lett. **95**, 181101 (2005);
Muecke, Protheroe, Stanev, Astropart. Phys. **18**, 593 (2003))

EFFECT OF IMPURE INITIAL FLUX COMPOSITIONS

$$1 : 2(1 - \zeta) : 0$$



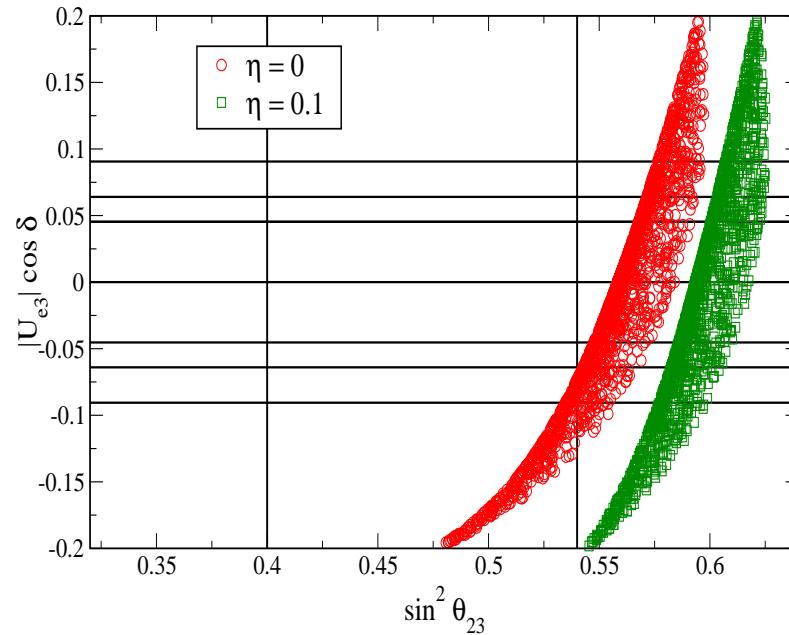
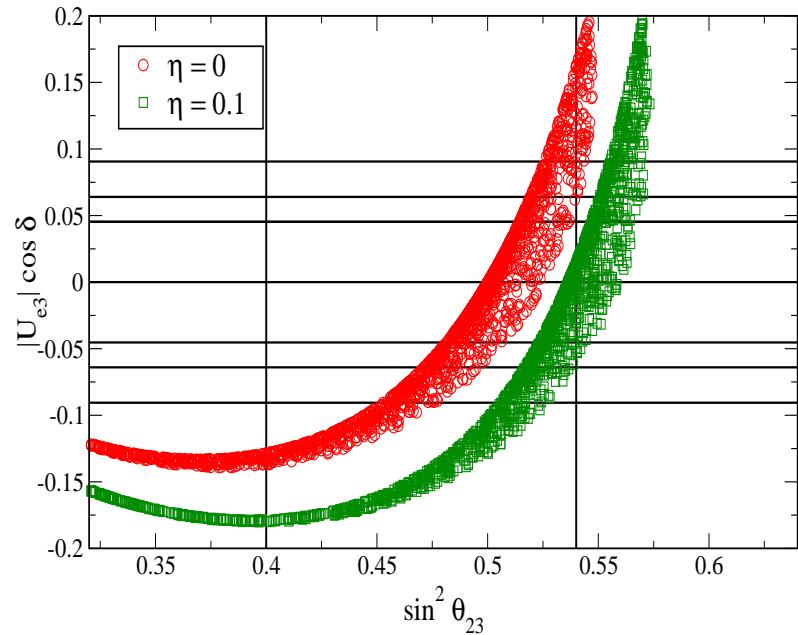
$$\Phi_\mu / \Phi_{\text{tot}} = 0.33$$

$$\sin^2 \theta_{23} = \frac{1}{2} \text{ and } \zeta = 0 \Rightarrow |U_{e3}| \cos \delta = 0$$

$$\sin^2 \theta_{23} = \frac{1}{2} \text{ and } \zeta = 0.1 \Rightarrow |U_{e3}| \cos \delta = 0.06$$

EFFECT OF IMPURE INITIAL FLUX COMPOSITIONS II

$\eta : 1 : 0$

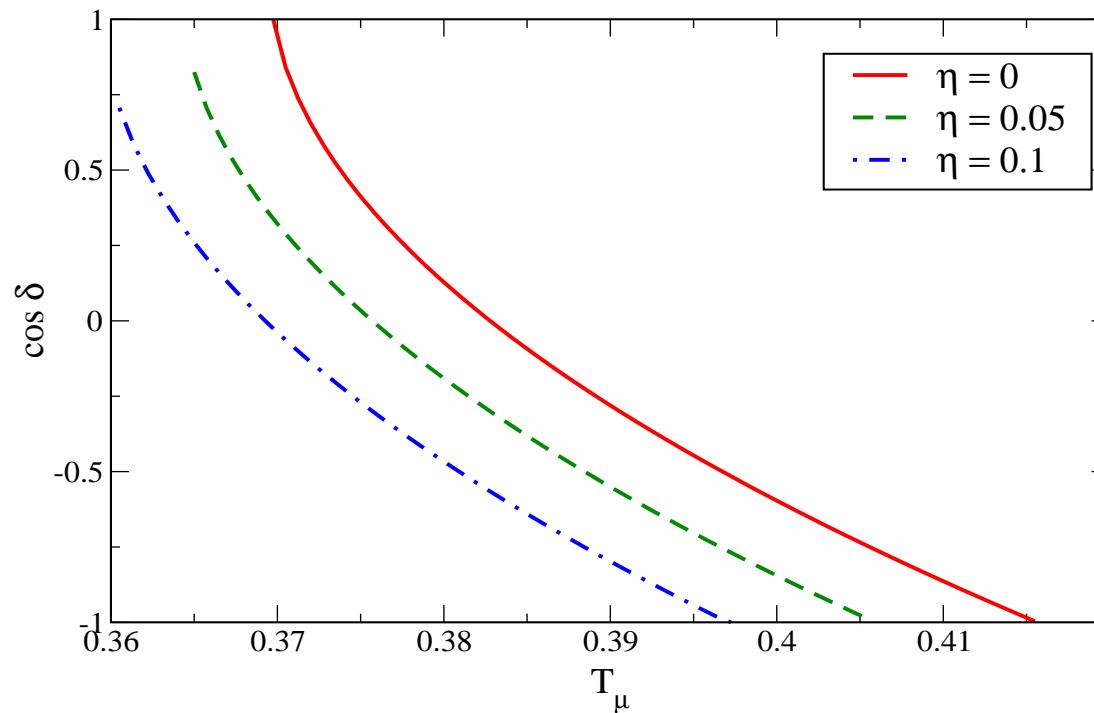


$$\Phi_\mu / \Phi_{\text{tot}} = 7/18$$

$$\Phi_\mu / \Phi_{\text{tot}} = 0.42$$

EFFECT OF IMPURE INITIAL FLUX COMPOSITIONS II A

$\eta : 1 : 0$ and CP violation/conservation



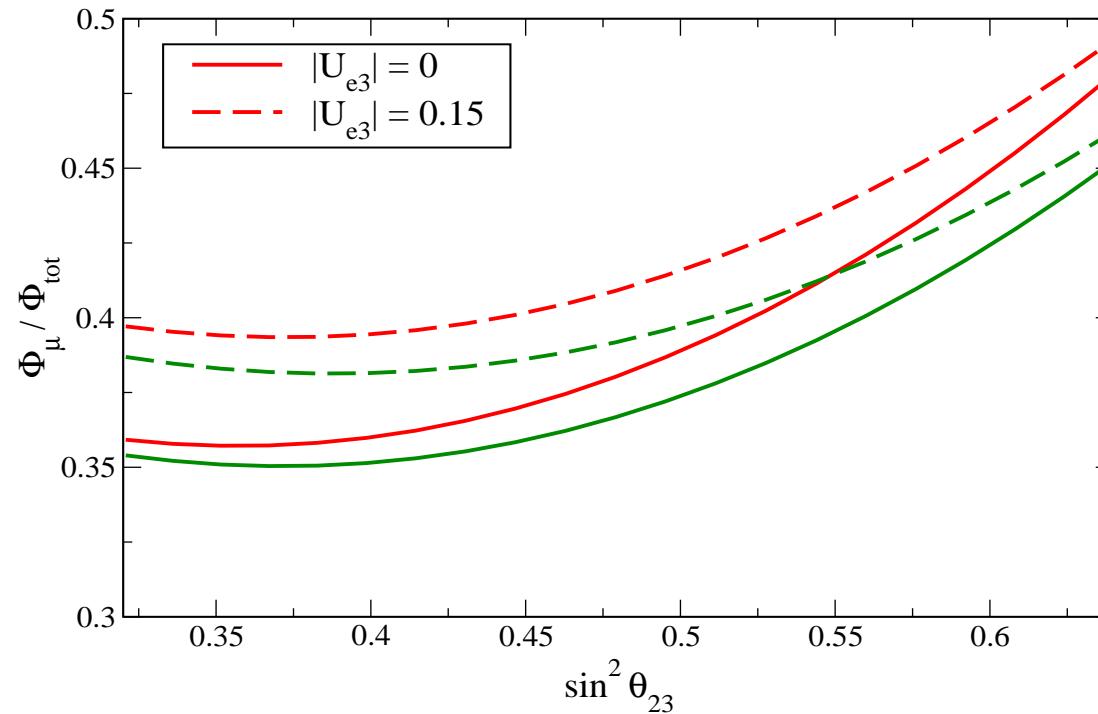
Extracted value of $\cos \delta$ from an exact measurement of $\Phi_\mu / \Phi_{\text{tot}}$

$$\theta_{23} = \pi/4, \sin^2 \theta_{12} = \frac{1}{3} \text{ and } |U_{e3}| = 0.15$$

as in [Blum, Nir, Waxman, arXiv:0706.2070 \[hep-ph\]](#)

EFFECT OF IMPURE INITIAL FLUX COMPOSITIONS II_B

$\eta : 1 : 0$ and the value of $|U_{e3}|$

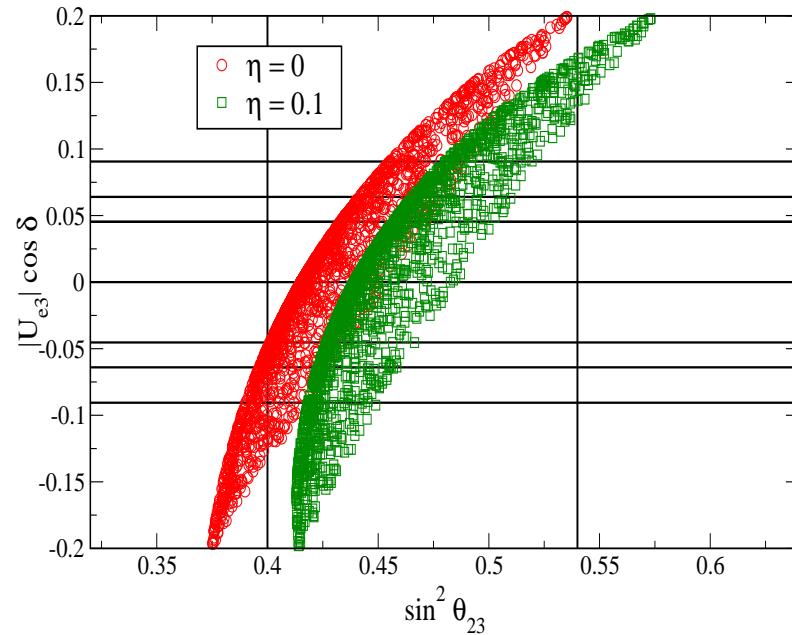
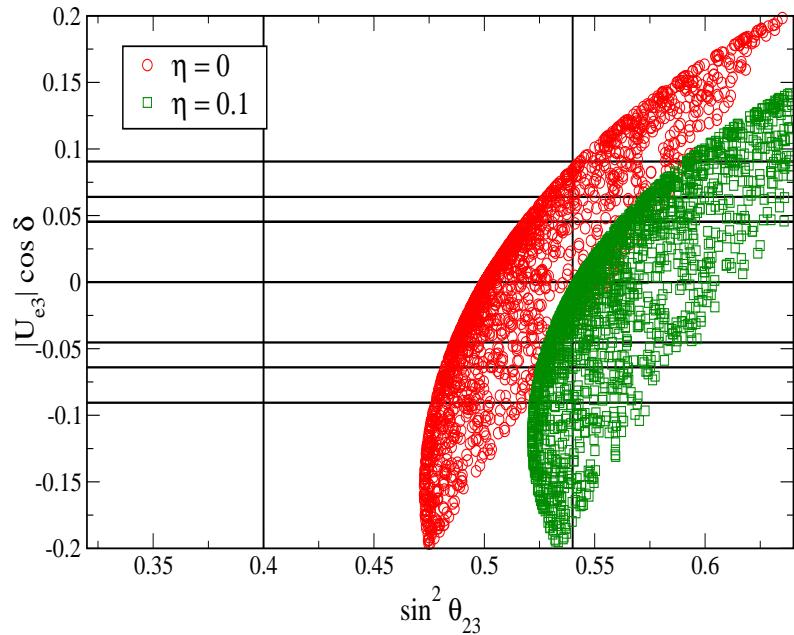


Dependence on $\sin^2 \theta_{23}$ of $\Phi_\mu / \Phi_{\text{tot}}$ for $\delta = \pi$
plotted for $0 : 1 : 0$ and for $0.1 : 1 : 0$

as in Serpico, Phys. Rev. D **73**, 047301 (2006)

EFFECT OF IMPURE INITIAL FLUX COMPOSITIONS III

$1 : \eta : 0$

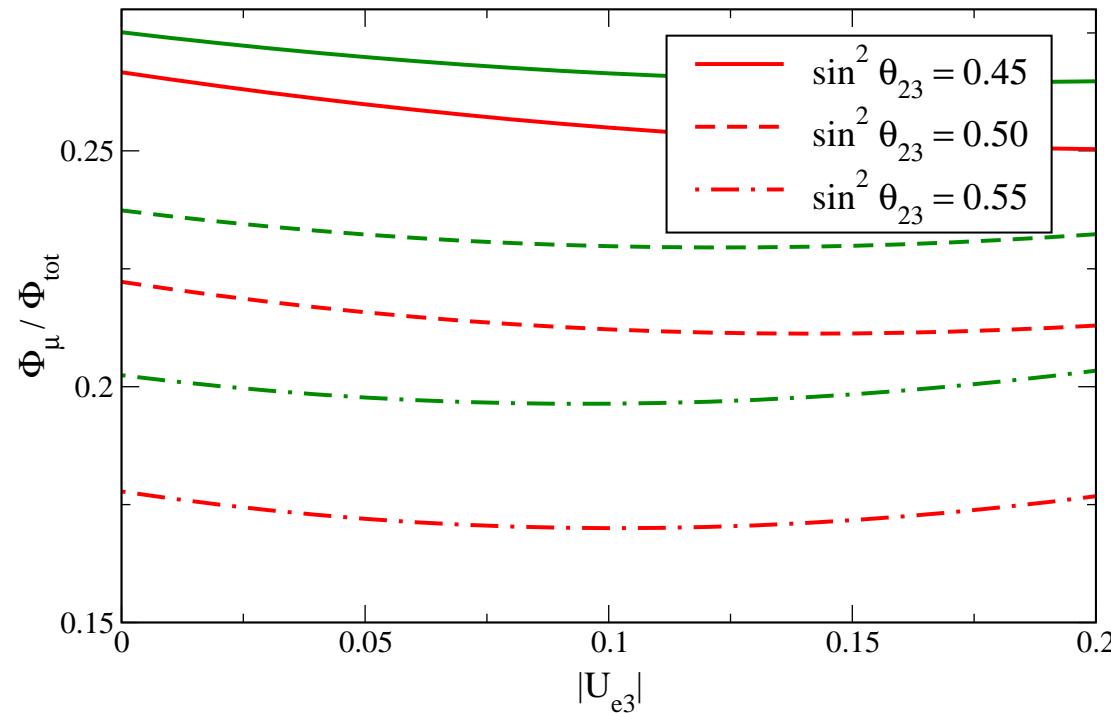


$$\Phi_\mu / \Phi_{\text{tot}} = 2/9$$

$$\Phi_\mu / \Phi_{\text{tot}} = 0.26$$

EFFECT OF IMPURE INITIAL FLUX COMPOSITIONS IIIA

$\eta : 1 : 0$ and the octant of θ_{23}

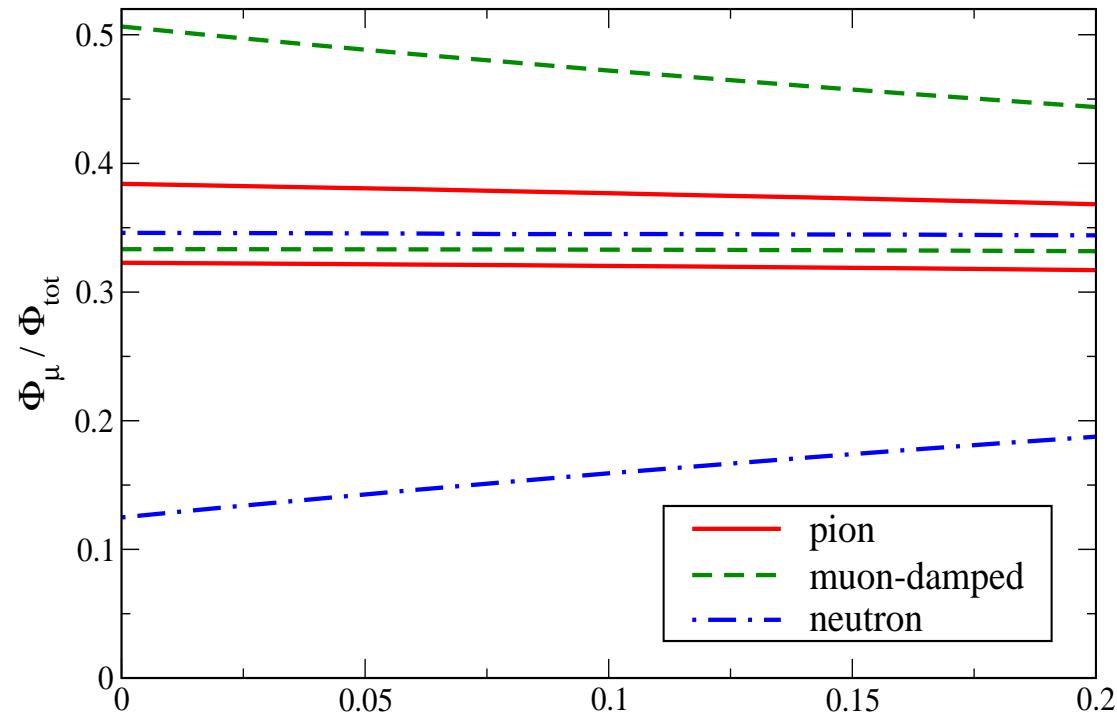


Dependence on θ_{13} of $\Phi_\mu / \Phi_{\text{tot}}$ for $\delta = \pi$

Plotted are $0 : 1 : 0$ and $0.1 : 1 : 0$

as in Kachelriess, Serpico, Phys. Rev. Lett. 94, 211102 (2005)

RANGE OF RATIOS



$\Phi_\mu / \Phi_{\text{tot}}$ for
1 : 2 ($1 - \zeta$) : 0
 $\eta : 1 : 0$
1 : η : 0

SUMMARY

Flux Ratios can be described by universal first and second order corrections Δ and $\overline{\Delta}^2$

- $\overline{\Delta}^2 \geq 0$ can be larger than $|\Delta|$
- has to be included in analytical studies
- $\Phi_e : \Phi_\mu : \Phi_\tau = 1 + 2\Delta : 1 - \Delta + \overline{\Delta}^2 : 1 - \Delta - \overline{\Delta}^2$

$$\begin{pmatrix} 1 \\ 2(1 - \zeta) \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} \eta \\ 1 \\ 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 1 \\ \eta \\ 0 \end{pmatrix}$$

$$\frac{\Phi_\mu}{\Phi_{\text{tot}}} \simeq \begin{cases} \frac{1}{3} \left(1 - \Delta + \overline{\Delta}^2 - \frac{1}{9} \zeta \right) & \text{pion} \\ \frac{7}{18} - \Delta + \frac{1}{2} \overline{\Delta}^2 - \frac{1}{6} \eta & \text{muon-damped} \\ \frac{2}{9} + \Delta + \frac{1}{6} \eta & \text{neutron} \end{cases}$$

- ⇒ Pion sources are less sensitive to deviations
- ⇒ muon-damped and neutron sources depend at first order on θ_{12}
- ⇒ can affect statements about
 - CP violation/conservation
 - value of $|U_{e3}|$
 - value and octant of θ_{23}

EXTRAS

WHAT IS μ - τ SYMMETRY?

simple exchange (Z_2 or S_2) symmetry

$$P_{\mu\tau} \equiv \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \text{ gives } P_{\mu\tau} \nu = P_{\mu\tau} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \nu_e \\ \nu_\tau \\ \nu_\mu \end{pmatrix}$$

apply also to mass term $\bar{\nu} m_\nu \nu^c$

$$P_{\mu\tau} \begin{pmatrix} A & B & D \\ B & E & F \\ D & F & G \end{pmatrix} (P_{\mu\tau}^*)^{-1} = \begin{pmatrix} A & D & B \\ D & G & F \\ B & F & E \end{pmatrix}$$

$$\Rightarrow \text{is symmetry if } \begin{cases} D = B \\ G = E \end{cases} \Rightarrow m_\nu = \begin{pmatrix} A & B & B \\ B & D & E \\ B & E & D \end{pmatrix}$$

WHAT IS μ - τ SYMMETRY?

$$m_\nu = \begin{pmatrix} A & B & B \\ B & D & E \\ B & E & D \end{pmatrix} \text{ has eigenvector } \begin{pmatrix} 0 \\ -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} \text{ to eigenvalue } D - E$$

$$\Rightarrow U_{e3} = 0 \text{ and } \theta_{23} = \pi/4 !!!$$

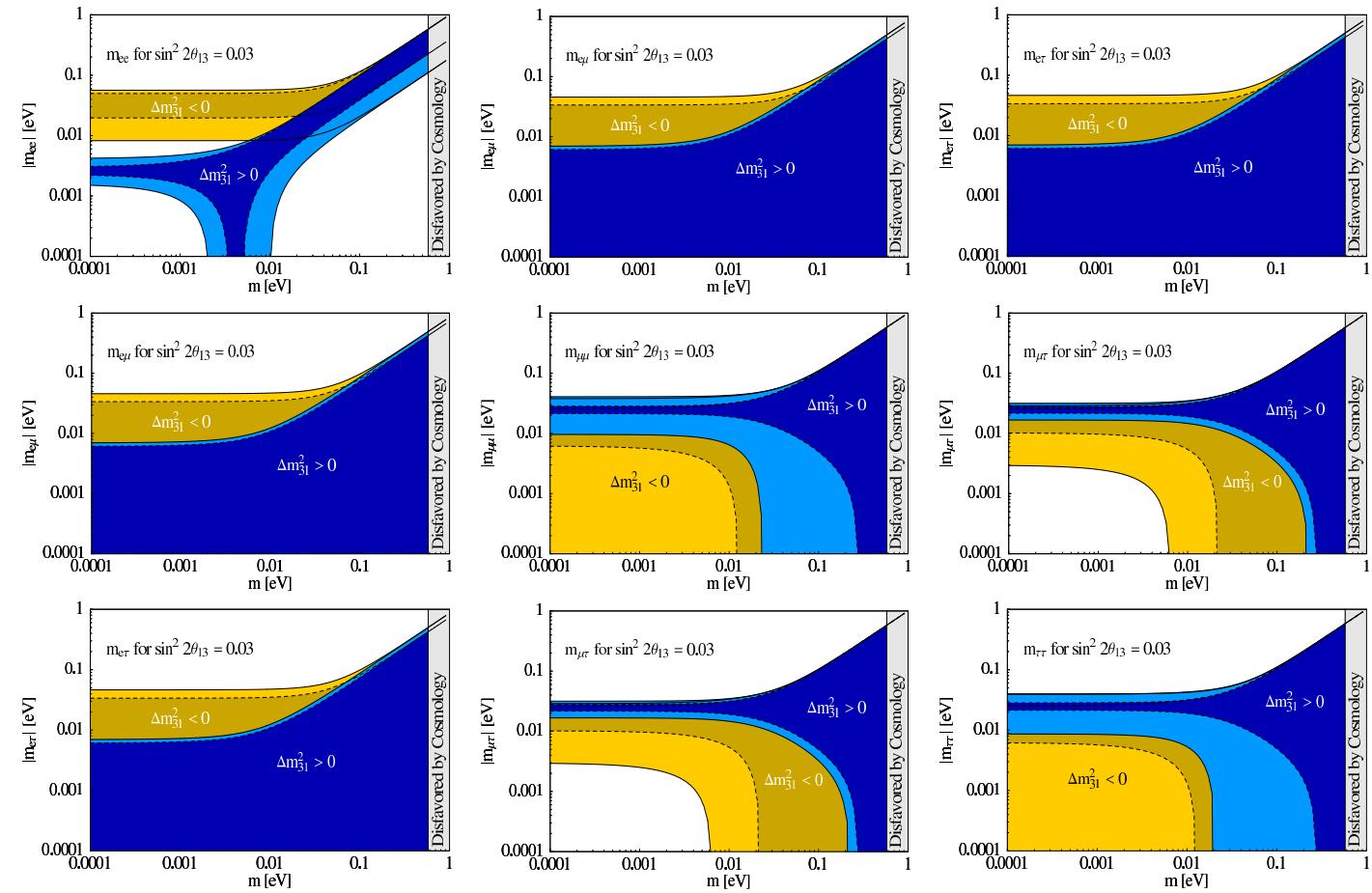
- 6 free parameters: $m_{1,2,3}$, θ_{12} and Majorana phases
- no Dirac phase δ

PROBLEMS

$$m_\nu = \begin{pmatrix} A & B & B \\ B & D & E \\ B & E & D \end{pmatrix} \text{ has eigenvector } \begin{pmatrix} 0 \\ -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} \text{ to eigenvalue } D - E$$

- no reason that eigenvalue to eigenvector is correct one
- Why not charged leptons? $U = U_\ell^\dagger U_\nu$
 - if in symmetry basis charged leptons diagonal: $m_\mu = m_\tau$
 - if in symmetry basis charged leptons NOT diagonal:
 $\theta_{13} = \theta_{23} = 0, \theta_{12} \neq 0$
- * more than one Higgs doublet (**Mohapatra; Grimus, Lavoura**)
- * or (tuned!) quasi-degenerate neutrinos with equal CP parities and softly broken $\mu-\tau$ symmetry (**Joshipura; Haba, W.R.**)

$$(m_\nu)_{\alpha\beta} =$$



TWO POPULAR CASES

(i) “Tri–bimaximal Mixing” $\sin^2 \theta_{12} = 1/3$:

$$= \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix} \Leftrightarrow m_\nu = \begin{pmatrix} A & B & B \\ \cdot & \frac{1}{2}(A+B+D) & \frac{1}{2}(A+B-D) \\ \cdot & \cdot & \frac{1}{2}(A+B+D) \end{pmatrix}$$

(ii) “Bimaximal Mixing” $\sin^2 \theta_{12} = 1/2$:

$$U = \begin{pmatrix} \sqrt{\frac{1}{2}} & \sqrt{\frac{1}{2}} & 0 \\ -\frac{1}{2} & \frac{1}{2} & -\sqrt{\frac{1}{2}} \\ -\frac{1}{2} & \frac{1}{2} & \sqrt{\frac{1}{2}} \end{pmatrix} \Leftrightarrow m_\nu = \begin{pmatrix} A & B & B \\ \cdot & \frac{1}{2}(A+D) & \frac{1}{2}(A-D) \\ \cdot & \cdot & \frac{1}{2}(A+D) \end{pmatrix}$$

Note: mixing angles independent of mass matrix entries

Usually symmetries based on finite groups A_4, D_5, S_3, \dots , typically with Z_2

Babu, Ma, Valle; Altarelli, Feruglio; Hagedorn; Frampton, Kephart;
 King, Ross; Ma; Ma; Ma; Ma; Ma; Ma; Ma; Ma; Ma; ...